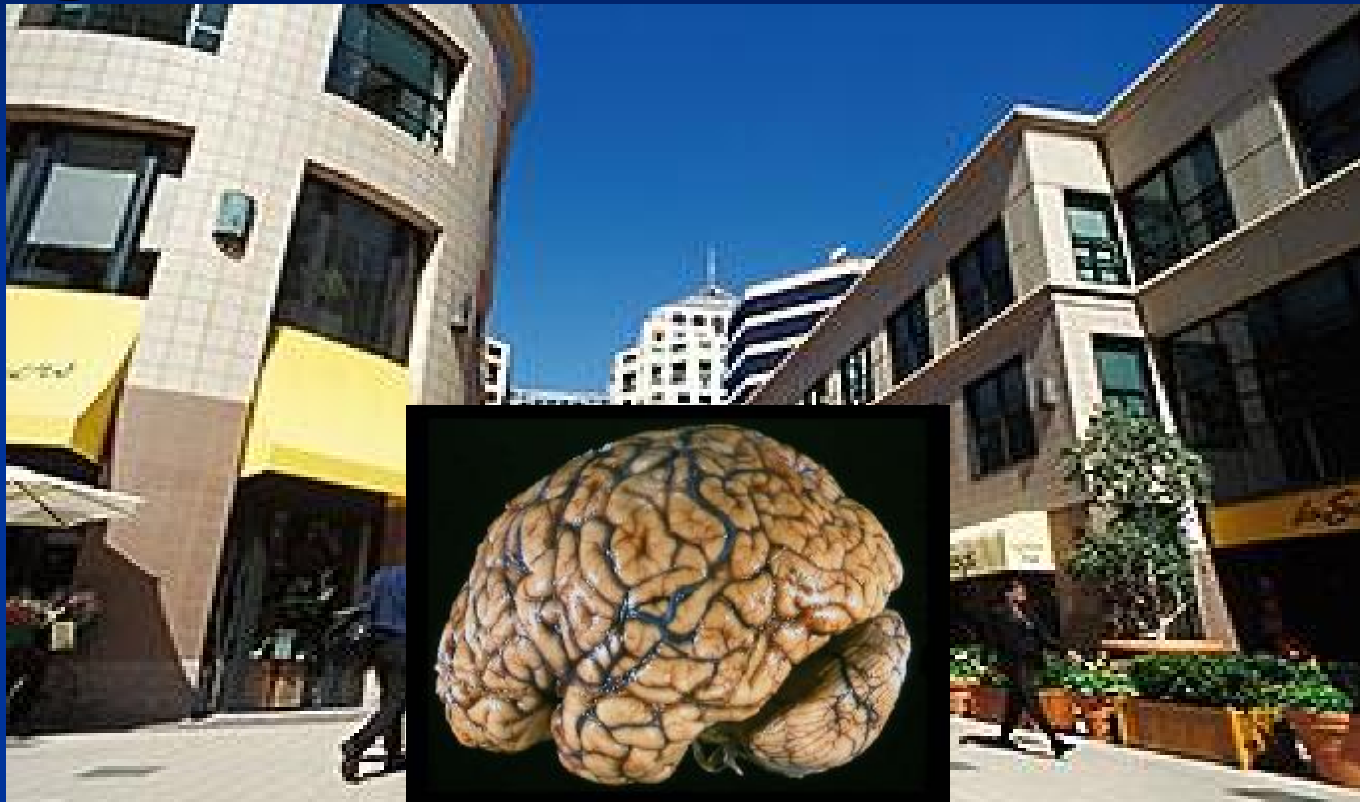


# Brain Function in a Social Context: Drug Abuse Risk and Tx Responsivity



**Diana H. Fishbein**

RTI International

Supported by the National Institute of Drug Abuse, grant no. 1 R21 DA14060-01

Conducted in partnership with the Johns Hopkins Prevention Research  
Program, Baltimore, MD

# Research Questions Re Linkages Between Neuroscience & Prevention

- What are the *neural substrates* of relevant forms of psychopathology: drug abuse, risk taking, ASPD?
  - Provides a mechanistic account of how interventions mediate their effects
- How does the *social environment impact* these neurobiological mechanisms?
- What are the critical *stages of development* during which psychosocial conditions (e.g., stress) differentially exerts its effects?
- Can understanding brain-environment interactions help *design interventions* that impact at critical points in the developmental trajectory to alter risk status?
- Can psychosocial interventions alter: *neurobiological mechanisms* and *behavioral phenotype* ?

# What is the role of *social contexts* in adolescent risk behaviors?

- **As an Impact**
  - Risk factor: stress, adversity, role modeling
  - Protector factor: attachment, neighborhood cohesion
- **As a Facilitator**
  - Circumstance, opportunity, relationship, expectation
- **As a Manipulation**
  - Prevention
  - Treatment
  - Policy: e.g., harm reduction strategy

# Overall morbidity and mortality rates increase 200% from childhood to late adolescence

Primary sources of death/disability related to problems with *control of behavior and emotion*

- Increasing rates of accidents, suicide, homicide, depression, AOD use, violence, reckless behaviors, eating disorders, health problems related to risky sexual behaviors
- Onset of problems with later health consequences

# Tendency to excess based on brain function in a social context



# **EXECUTIVE FUNCTIONS**

## **At the Intersection between the Brain and Social Environment**

- **Forethought**
- **Attention/Concentration**
- **Verbal Ability**
- **Abstract Reasoning**
- **Problem Solving**
- **Programming and Planning Goal Oriented Behavior**
- **Behavioral Inhibition**
- **Learning from Experience**
- **Interpreting Social Cues**
- **Using Socially Adaptive Behavioral Responses**
- **Avoiding Negative Consequences or Situations**
- **Regulating Emotional Responses**
- **Sensitivity to Penalties**

# Focal Point: Prefrontal Deficits

- Heightened Sensitivity to Rewards
- Insensitivity to Consequences
- Impulsivity
- Inattention
- Misattributing Social Cues
- Negative States Dominate

Frontal lobes



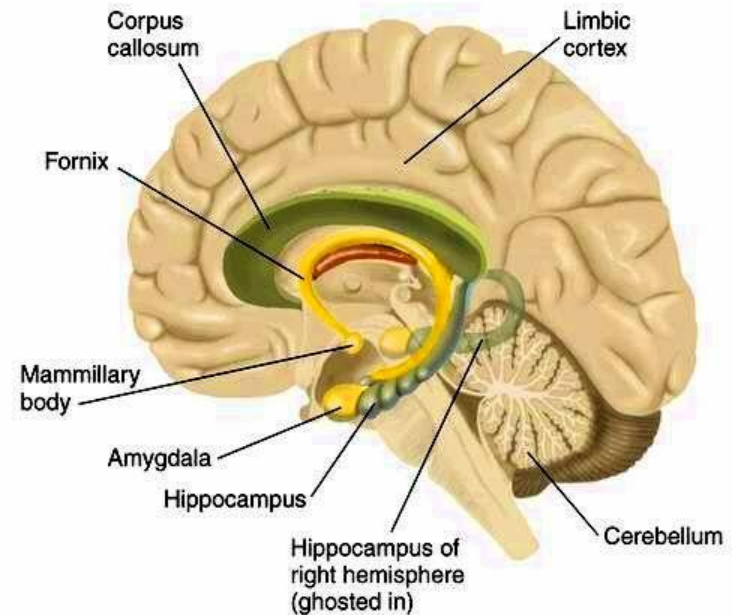
- | Memory, planning, problem solving
- | Gray matter volume peaks ~ age 12
- | Change with experience = *plasticity*

# Emotional Regulation

**Prefrontal cortex modulates lower functions of ACC and limbic system**

- Motivation and emotion
- Assigns feeling to incoming stimuli
- Emotional drives
- Stress responses
- Provides for rewarding and addictive properties of drugs and novelty seeking

► Major Components of the Limbic System





# Breakdown in Brain's Regulatory System may Heighten Risk

Regulatory neural circuitry b/t prefrontal cortex and limbic system vulnerable to:

- genetic defects
- developmental delays
- injury
- metabolic errors
- *stress and adversity*
- drug and alcohol use

# The Adolescent Brain

**Prefrontal cortex not fully developed or connected until early adulthood**

- Unique stage of change in metabolism, pruning, and increased efficiency in prefrontal function

**Emotional centers (limbic) without checks and balances**

- Greater sensitivity to rewards, less inhibition
- Seek altered states of consciousness

**Effects of social inputs are longstanding**

# Fundamental Imbalance in Puberty

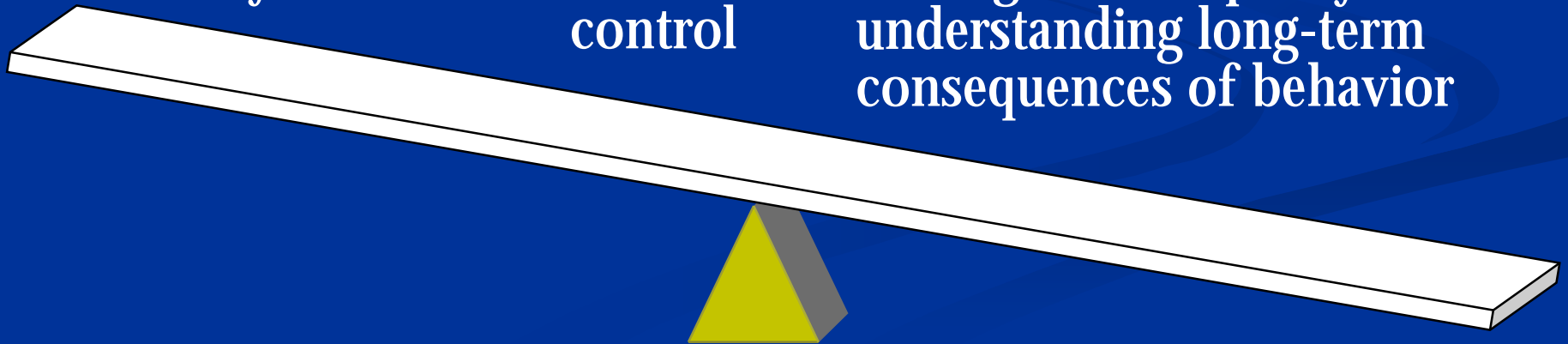
- Rapid physical, endocrine, and social changes that create *early* affective motivations and challenges
- Gradual, *later* development of affect regulation and maturation of cognitive/self-control skills

## Emotional Capacity

Pubertal drives and emotions; sensation seeking; risk taking; sensitivity to rewards, low self control

## Cognitive Capacity

Planning; logic; reasoning, inhibitory control; problem-solving skills; capacity for understanding long-term consequences of behavior

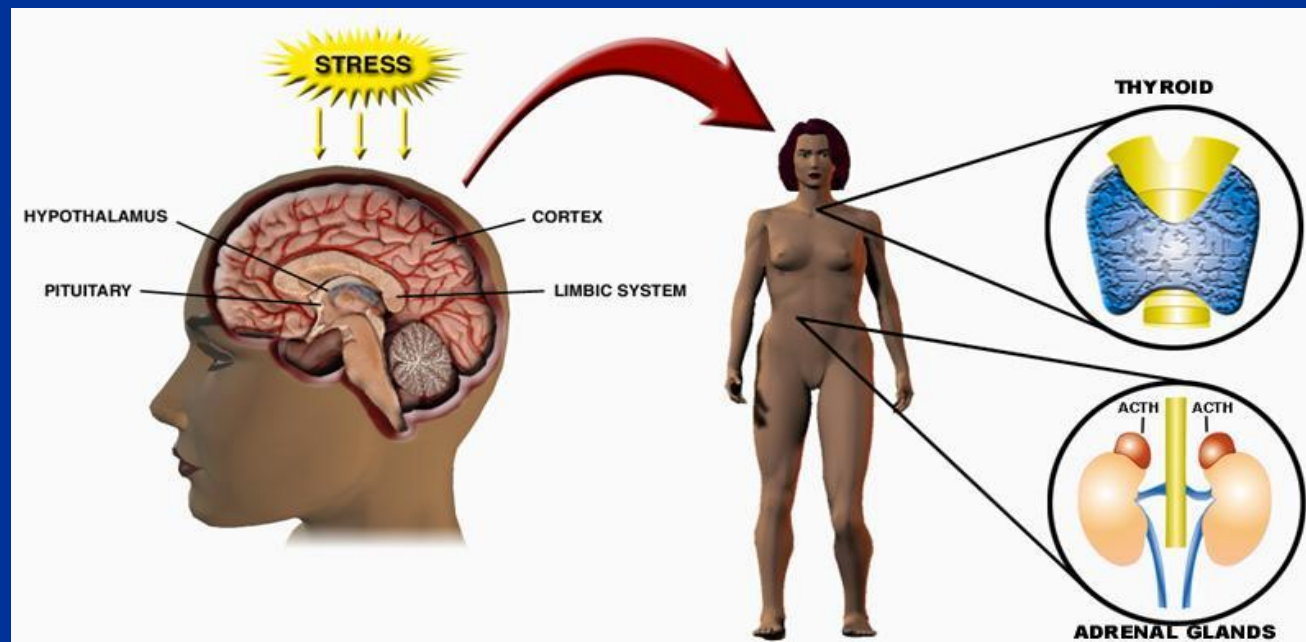


# The Adolescent Brain is Plastic for Better or for Worse

- **For Worse:** Particularly vulnerable to external inputs
  - Environmental exposures
  - Psychosocial stressors
  - Drug and alcohol use
  - Protective factors
- **For Better:** Creates unique opportunities for emotional-motivational learning
  - Sculpts connections *between* cognitive control and emotional systems to create lasting changes
  - Scaffolding/social support
- **Relevance to prevention, early intervention and policy**

# \*\*\*Chronic stress primes the brain for novelty seeking and drug use\*\*\*

- Alters brain function, disengages coping mechanisms, and compromises ability to execute rational choices
- Increases the likelihood of psychopathology
- Genetic vulnerabilities affect behavioral outcomes
- Positive attributes of person or environment = protection

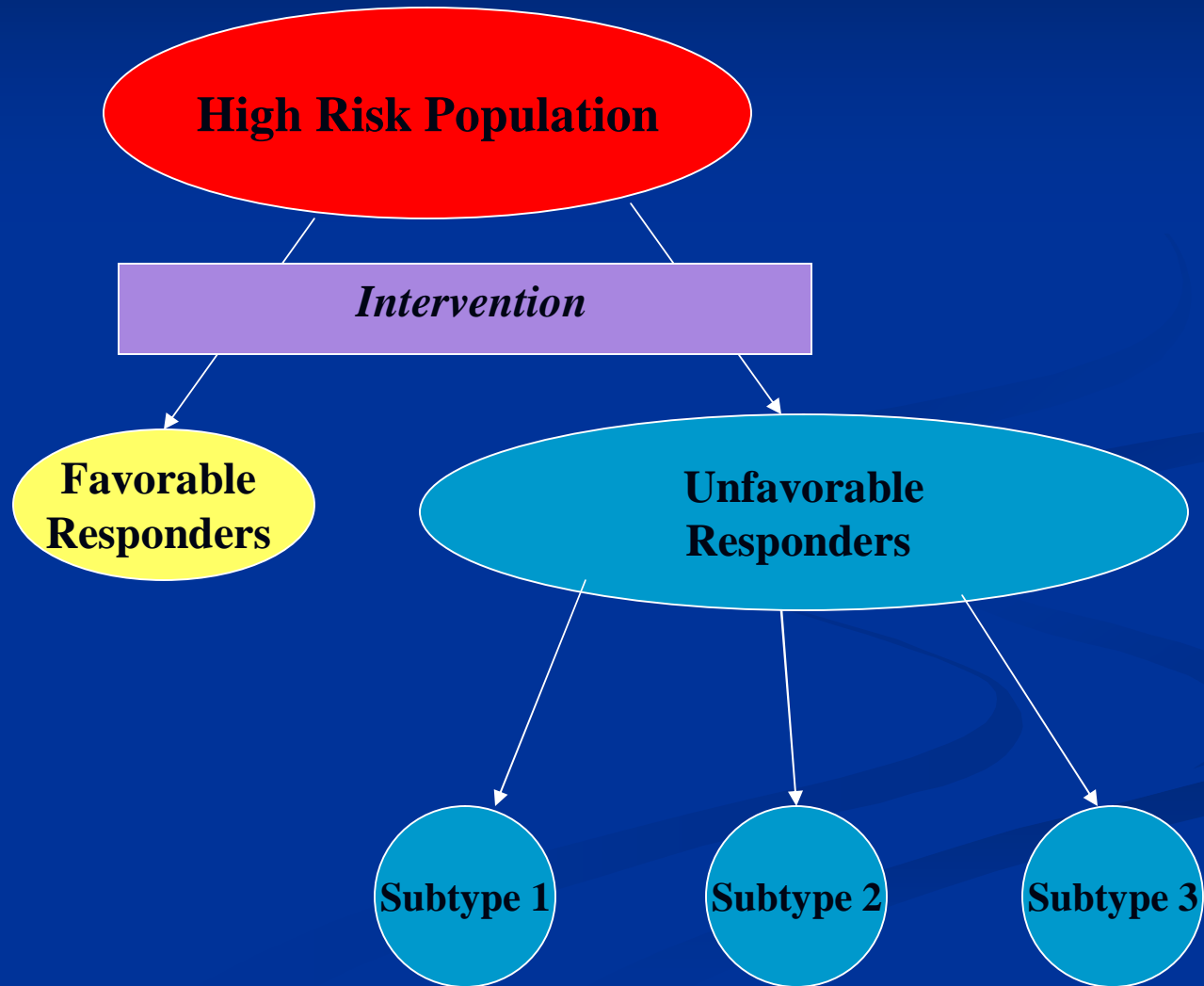


# Translational Research

- n Basic understanding of the underlying pathophysiology of mental and behavioral disorders.
- n Extends basic or clinical research findings to yield a knowledge base for the development of novel, efficacious prevention or treatment interventions:
  - Why do some respond well to conventional treatments?
  - Characterize heterogeneous subgroup that does not respond.
  - Does “effective” treatment actually change brain function?

# **Implications for Translational Work in the Prevention Sciences**

# Differential Responses to Prevention Programming





# Prerequisites to Favorable Intervention Response

Processing materials requires participants to:

- ü Be cognizant of and responsive to potential negative consequences of behavior
- ü Inhibit inappropriate behavioral responses
- ü Understand and act on the benefits of deliberate and cautious decision-making

Deficits in these basic skills (i.e., dimensions of ECF) may compromise benefit from programs that do not first target these underlying deficits.

# **Recent Prevention Study**

To assess the extent to which ECF and emotional perception moderate response to a model preventive intervention curriculum (PACT).

# SUBJECTS

- Subgroup (120 males) recruited from larger longitudinal study by JHU PIRC who are registered in the Baltimore City Public Schools.
- Ten years of longitudinal data from child, parent, teachers, and peers
- Selection Criteria:
  - **Control group:** no previous or current diagnosis of Conduct Disorder or other high risk behavior
  - **Conduct Disorder (CD) group:** previous and current diagnosis of Conduct Disorder and other high risk behavior

# Design and Methods

## Baseline Protocol:

- Estimated IQ (WISC-III: Block Design and Vocabulary)
- Three Neurocognitive Tasks
  - § Rogers Risky Decision Making (adapted for children)
  - § Logan Stop Signal (impulsivity)
  - § Sonuga-Burke Choice Delay (delay of gratification)
- Simultaneous Physiological Monitoring
- Ekman Facial Recognition Task (emotional perception)
- Three Virtual Realty Vignettes assessing emotional composure and communication
- Questionnaire of scenarios to assess beliefs supporting aggression, aggressive conflict resolution and hostility
- Interviewer ratings

# Design and Methods

## Experimental Design (6 to 8 wks after Baseline)

- Intervention: Random assignment to facilitated PACT video that presents role modeling curriculum to teach negotiation and conflict resolution
- Posttest Measures:
  - Three different virtual reality vignettes
  - Questionnaire scenarios
  - Debriefing and interviewer ratings

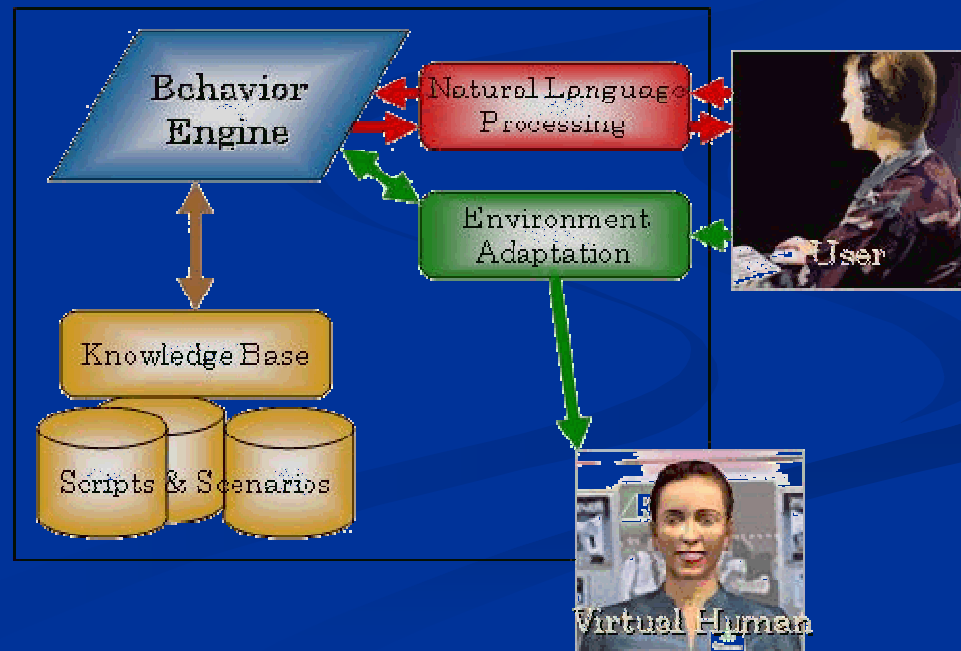
# Measurement of Social Context

**Social situations during adolescence challenge:**

- Emotional and impulse control.
- Conflict resolution through negotiation, seeking information, expressing preferences.
- Advantageous decision making.
- Adverse consequences of low skill levels include drug abuse, violence, school suspension, criminal activity.

# Advantage of Virtual Reality

The real-world social context provided by virtual reality technology may enhance our capability to predict intervention response and chart behavioral change.



# Virtual Reality Character

## Scenarios:

- 1) Stolen Goods
- 2) Drinking or Drug Use and Girls
- 3) Provocation to Fight





# Design - VR Measures

- n Engagement with vignettes.
- n Body language.
- n Verbalizations & number of conversational turns.
- n Response time
- n Outcome:
  - n Positive outcome is to decline or back away.
  - n Negative outcome is to agree or escalate confrontation.

# Observation

- n Scoring procedures used during pre/post test with vignettes identify:
  - n Level of emotional control.
  - n Interpersonal communication skills.
  - n Analysis against established measures provides some support for construct and criterion validity.

# Results - Self-reports

- n Nearly all participants stated their virtual decision mirrored what would be their real-life decision.
- n Note: acceptability / usability not different among groups.

# Results - Implications

- n Simulation effective in differentiating:
  - n Adolescents with Conduct Disorder.
  - n Adolescents who used drugs the following year.
  - n Adolescents who had participated in live training sessions on key skills.
  - n Adolescents with high and low responsivity to training

# Summary of Results

## Neurocognitive and Emotional Deficits Predicted Lack of Behavioral Change in Response to Acute Prevention:

- ∅ Misattribution of emotion in facial expressions.
- ∅ Risky choices associated with negative consequences
- ∅ Greater impulsivity
- ∅ Inattention

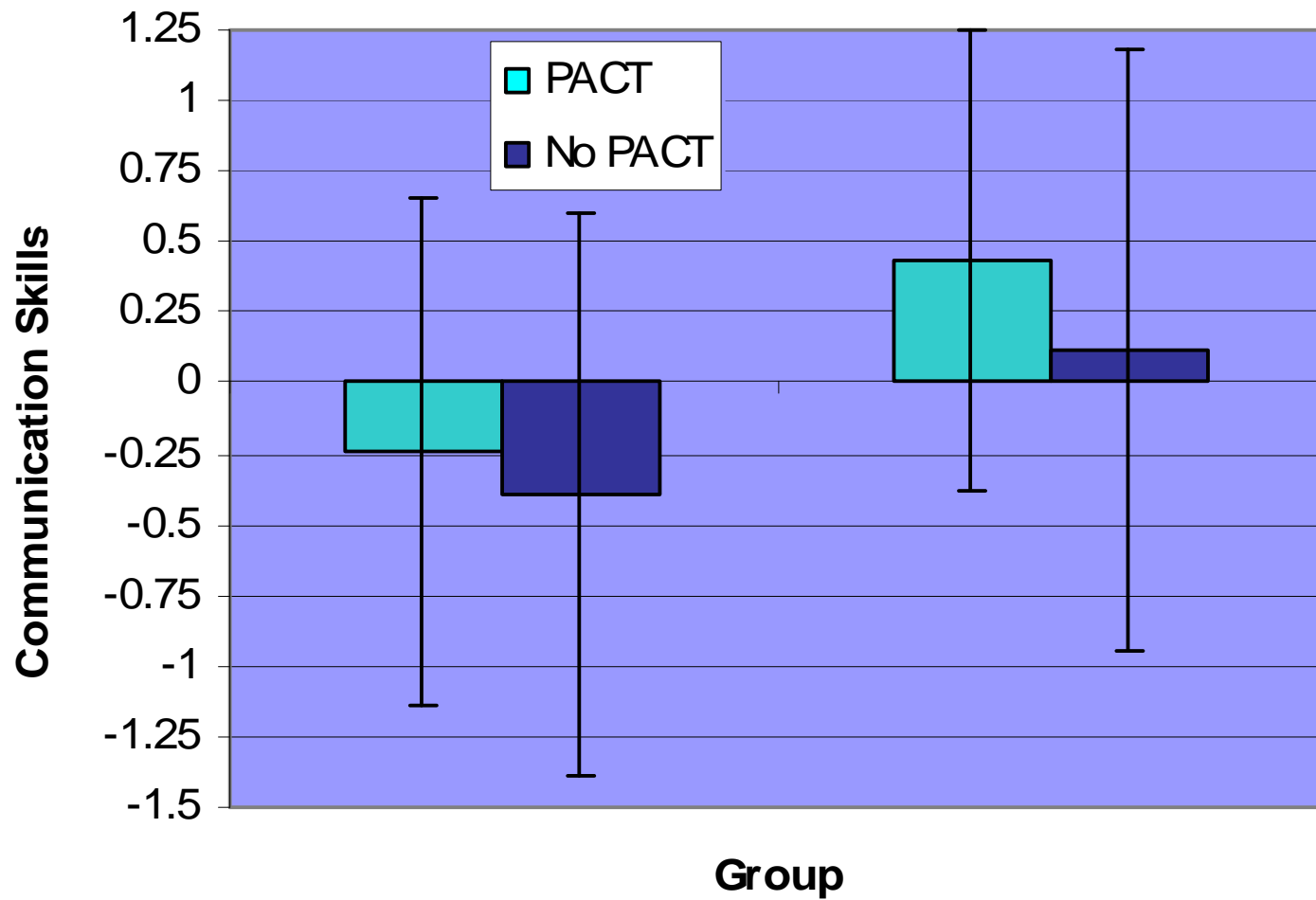
## Relationship between intervention, CD, and outcome:

- ∅ Adolescents with CD respond less favorably to an acute administration of the PACT intervention as measured by Vignettes

## Mediators of Effect:

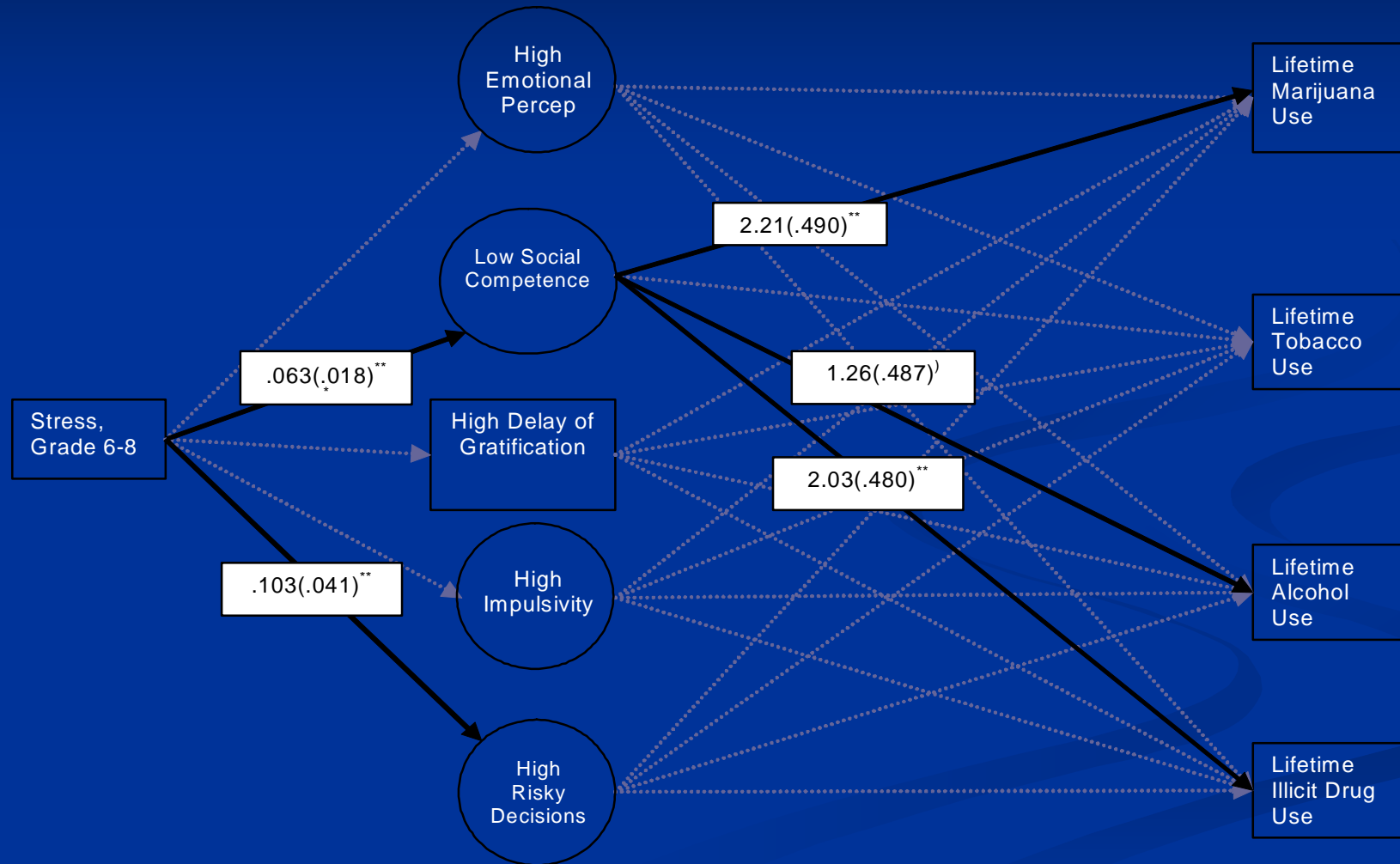
- ∅ Relations b/t childhood stressors and drug use mediated by risky decision making and social competency

# PACT and CD Group Effects on Communication Skills



( $F = 5.5, p = 0.02$ )

# Social Competency Mediates Relationship between Stress and Substance Use



# Leverage points for early intervention strategies?

Understanding underpinnings (gene x *social context* x development interactions) of affect regulation and cognitive control will inform:

- Type and timing of optimal intervention
- Universal vs targeted
- Developmental phase
- Social contexts as impact, facilitator and manipulation



# Comrades

## JHU Prevention Program

- ∅ Nicholas Ialongo
- ∅ Scott Hubbard
- ∅ Courtney Patterson
- ∅ Dana Darney
- ∅ DiAngelo Lewis
- ∅ Kejuana Walton

## PIRE Staff:

- ∅ Mallie J. Paschall

## RTI Staff:

- ∅ Christopher Hyde
- ∅ Diana L. Eldreth
- ∅ Robert Hubal
- ∅ Abhik Das